

PREDICTING STUDENTS' PERFORMANCE IN INTRODUCTORY PROGRAMMING COURSES: A LITERATURE REVIEW

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Abstract

The teaching-learning process in programming in university with freshmen is often associated with high failure and dropout rates. These outcomes frustrate both students and teachers and there is a need to verify the causes of these failures. By predicting the causes of these problems, we can try to control them, or at least try to plan the courses to try to avoid failure in the identified cases. The purpose of this paper is to analyze the scientific production concerning the prediction of students' performance in introductory programming courses. This analysis regards articles indexed in Clarivate Analytics' Web of Science and Elsevier's Scopus. The sample includes a total of 30 articles. The results obtained by bibliometric analysis show when and where those documents were published, who are the authors and what is the focus of said articles. We also analyzed the most cited documents. We made a summary of the articles. We were able to obtain a global overview of the theme, obtaining a strong analysis that is useful for teachers in the process of helping students achieve success in introductory programming courses at universities.

Index Terms— Educational data mining; CS1; programming courses; bibliometrics.

I. INTRODUCTION

Teaching and learning programming at the beginning of university courses worry many researchers and teachers [1] [2] [3]. The results reported are often disastrous: they tend to be associated with unwanted failure and dropout rates [4] [5] [6]. The propaedeutic character of courses such as introduction to programming causes the students' paths to be altered by their performance: if they manage to reach high levels of success, the rest of the course is facilitated; on the contrary, the failure in these curricular units makes students unmotivated, which often leads to drop out the course [7]. Are there students' characteristics that may indicate the level of success? Are there elements of the students' past career that make them tend to be more, or less, likely to drop out? What kind of motivation, habits and interests make students achieve lower or higher grades? These are just some examples of questions that can be asked, but there are many more [8]. By using students' characteristics (e.g. past career, motivation, habits, interests, among others) to predict their success or failure, we can foresee problems and try to guide the students' performance (and even the teachers' behaviour) in a better path [9].

It is possible to try to predict students' performance using data mining (the process of discovering patterns in data [10] and machine learning techniques that aim at analysing data to find meaningful patterns. There is a subfield of datamining, called Educational data mining, which consist of the application of data mining and machine learning techniques to educational data [11]. It has lately received increased attention by the scientific community [12], [13]. Surveys of educational data mining are presented in [11] and, more recently, in [14]. After that, several reviews have been published on the same subject [12] [15] [16] [17] [18] [19] [20]. All these studies are important to give clues on how research in the area has been carried out and eventually to predict the future. The purpose of this paper is to analyse the scientific production that concerns the prediction of students' performance in higher education, specifically for introductory programming courses. We consider articles indexed in Clarivate Analytics' Web of Science and Elsevier's Scopus. The sample includes a total of 30 articles. The results obtained with the bibliometric analysis show when and where those documents are published, who are the authors and what is the focus of the research.

Bibliometric analysis [21] is the quantitative study of bibliographic material: it provides a general picture of a research field that can be classified by papers, authors and journals. Bibliometric methods employ a quantitative approach for the description, evaluation, and monitoring of published research. These methods have the potential to introduce a systematic, transparent and reproducible review process and thus improve the quality of reviews [22]. Bibliometric analysis provides objective criteria that can assess the research development in a field and act as a valuable tool for measuring scholarship quality and productivity [23]. Bibliometric methods offer systematization and replication processes that can improve understanding of the dissemination of knowledge in a field and can highlight gaps and opportunities that may contribute to the advancement of the discipline [24]. We also analysed the most cited documents and made a summary of the articles. This document is subdivided into several sections. First, on

describe the research questions, followed by the methodology and the bibliometric results. Then we show the results of article content, ending with the discussion of results obtained, the conclusions and suggested future work.

II. THE RESEARCH QUESTIONS

The research question, together with the purpose of the review, the intended deliverables and the intended audience, determines how the data is identified, collected and presented [25]. As already referred, we wish to study documents, concerning the prediction of students' performance on introductory programming courses in higher education, published in high quality journals. Regarding this, this work aims at answering bibliometric and content related questions.

The bibliometric questions considered are:

BQ1: When were the articles published?

BQ2: What is the type of these publications?

BQ3: Where were the articles published?

BQ4: What is the focus of the articles?

BQ5: Who publishes on the subject?

BQ6: Are there clusters of authors who publish together?

BQ7: What are the most cited articles?

As for the content related questions, the ones considered are:

CQ1: Which papers use machine learning as a technique? (The remaining content related questions refer only to the papers that use machine learning as a technique)

CQ2: What kind of data was used?

CQ3: What is the aim of the publication?

CQ4: Which machine learning task was considered?

CQ5: Which algorithms were considered?

CQ6: What were their findings?

III. METHODOLOGY

The term bibliometrics was first used in 1969 by Alan Pritchard, hoping that the term would be used explicitly in all studies which seek to quantify the processes of written communication and quickly gain acceptance in the field of information science [26]. Moed mentioned the possibilities of this type of study that reveal the enormous potential of quantitative, bibliometric analysis of the scholarly literature for a deeper understanding of its activity and performance, and highlights their policy relevance. [27] In scientific research, it is important to get a wider perspective of research already being conducted concerning a relevant subject matter [28] and a bibliometric analysis profile on the research trajectory and dynamics of the research activities across the globe [29]. This is a bibliometric study that systematically analyses the literature using articles indexed at Elsevier's Scopus (Scopus) and Clarivate Analytics' Web of Science (WoS) databases. This study conducts a bibliometric analysis of international journal papers that we expect provides a useful reference for future research.

The search strategy was

TITLE-ABS-KEY (predict*) AND

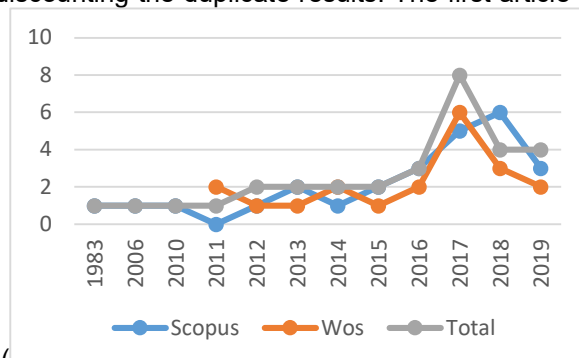
TITLE-ABS-KEY ("learn to program" OR "learning programming" OR "introductory programming" OR "novice programming" OR "introduction to programming") AND

TITLE-ABS-KEY (university* OR "higher education")

PUBYEAR: < 2020.

IV. BIBLIOMETRIC RESULTS

A set of 20 published papers were collected from WoS and 26 from SCOPUS. The search returned a total of 30 documents after discounting the duplicate results. The first article in Scopus was published



in 1986 and second in 2006 (

Fig. 1. Annual evolution published documents.).

20 (67%) of the documents are conference papers and 10 (33%) of them are journal articles, as we can see in Fig.2. The next table (**Erro! A origem da referência não foi encontrada.**) shows the conferences where the papers were published, including number of publications (n), h-index (H), Scientific Journal Ranking (SJR 2018), and Country where the conference was held.

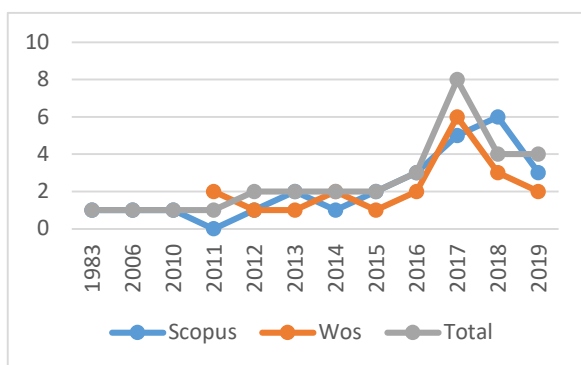


Fig. 1. Annual evolution published documents.

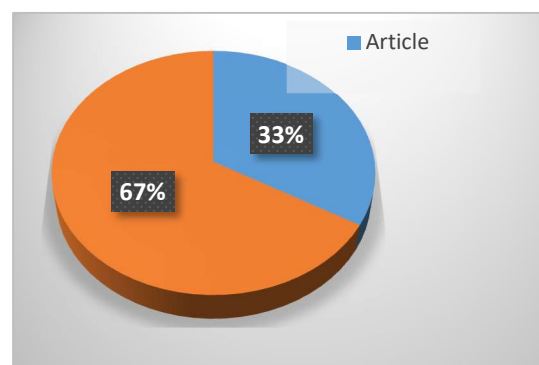


Fig. 2. Document type

Table 1. Conference papers.

Conference	n	H	SJR 2018	Country
ACM Technical Symposium on Computer Science Education	3	13	0.18	United States
Frontiers in Education Conference	3	35	0.16	United States
ACM International Conference Proceeding Series	2	98	0.17	United States
Efficiency and Responsibility in Education	2			Czech Republic
ASEE Annual Conference and Exposition	1	25	0.22	United States
CEUR Workshop Proceedings	1	42	0.17	United States
Computers and People Research Conference	1	2	0.11	United States
IEEE International Conference on Advanced Learning Technologies	1	5	0.18	United States
International Conference on Computer Science and Engineering	1			EAI

Programming learning difficulties	2
Scale	2
Self-efficacy	2
Validity	2

We found three keyword clusters presented on the following figure (Fig. 4. Keywords network visualization.):

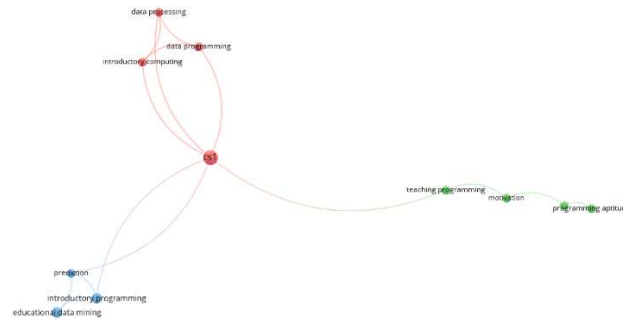


Fig. 4. Keywords network visualization.

As can be observed on the figure, the clusters found were:

C1: CS1, data processing, data programming, introductory computing.

C2: motivation, programming aptitude, self-efficacy and teaching programming.

C3: educational data mining, introductory programming and prediction.

Seven documents (23%) are written by two authors, six by three authors and another six by four authors (20%) (Fig. 5. Number of authors by document.).

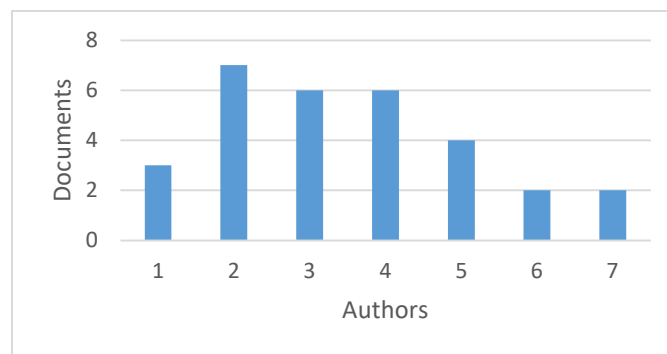


Fig. 5. Number of authors by document.

The 30 articles belong to a total of 93 authors. There are eleven that published two articles: A. Gomes, E. Deveci, E. Milkova, F. B. Correia, F. B. Tek, K. S. Benli, M. D. Ernst, P. H. Abreu, P. Pham and R. Ordóñez (as we can see in Table 4. Author's affiliation.).

Table 4. Author's affiliation.

Author	Affiliation
A. Gomes	Coimbra Polytechnic - ISEC, Portugal
E. Deveci	Işık Üniversitesi, Istanbul, Turkey
E. Milkova	University of Hradec Králové, Czech
F. B. Correia	Coimbra Polytechnic - ISEC, Portugal
F. B. Tek	Işık Üniversitesi, Istanbul, Turkey
K. S. Benli	Işık Üniversitesi, Istanbul, Turkey
M. D. Ernst	University of Washington, United States
P. H. Abreu	Universidade de Coimbra, Portugal
P. Pham	Evergreen State College, United States

R. Anderson	University of Washington, United States
R. Ordóñez	Southern Adventist University, United States

The affiliation of these eleven authors is: one from the Czech Republic, three from Portugal, three from Turkey and four others from the United States. We found three clusters (Fig. 6. Author network visualization.):

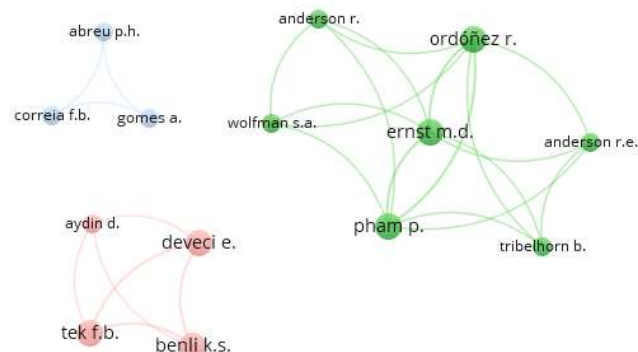


Fig. 6. Author network visualization.

From this figure, we can see that three clusters were found:

C1: A. Gomes, F. B. Correia and P. H. Abreu.

C2: R. Anderson, M. D. Ernst, R. Ordóñez, P. Pham, S. A. Wolfman and B. Tribelhorn.

C3: E. Deveci, D. Aydin, K. S. Benli and F. B. Tek.

Considering the first author, there are 18 different countries: United States has five documents, Australia, Brazil, New Zealand, Portugal, Spain and Turkey have two documents each (Fig. 7. Countries first author address.).

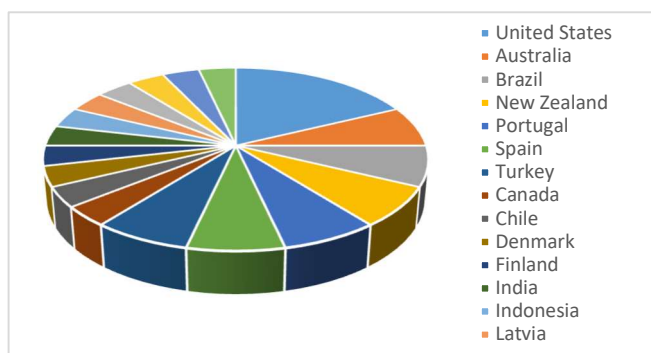


Fig. 7. Countries first author address.



Fig. 8. Country network visualization.

Besides, we found four clusters (Fig. 8. Country network visualization.):

The clusters found on the countries were the following:

C1: Canada, Chile, and United States.

C2: Australia and New Zealand

C3: Brazil and Lithuania

C4: Portugal and Spain.

We can see that there is a great diversity of institutions that publish and work on the subject: Stanford University [30], Federal University of Alagoas [31], University of Durham [32], University of Auckland [33], Washburn University [34], University of Washington [35], University of Otago [36] and University of Helsinki [37]. There are 17 organizations with more than two documents. (**Erro! Autorreferência de marcador inválida.**)

Table 5. Organization, more than two documents.

Organizations
Aalborg University, Denmark
Fed Univ Alagoas UFAL, Maceio, Brazil
Isik Univ, Dept Comp Engrn, TR-34980 Istanbul, Turkey
Isik Univ, Psikol Bolumu, Istanbul, Turkey
Lublin Univ Technol, Lublin, Poland
Southern Adventist University, United States
SRM Univ, Comp Sci & Applicat, Tamil Nadu, India
Univ Alicante, Lucentia Res Grp, Spain
Univ Botswana, Dept Comp Sci, Gaborone, Botswana
Univ Coimbra, Portugal
Univ Fed Campina Grande, Brazil
Univ Hradec Kralove, Hradec Kralove, Czech Republic
Univ Otago, Dept Comp Sci, New Zealand
Univ Phayao, Thailand
Univ Teknol PETRONAS, Malaysia
University of Washington, United States
Washburn University, United States

There are 8 documents that have been cited over ten times (**Erro! A origem da referência não foi encontrada..**). Piech, C., Sahami, M., Koller, D., Cooper, S., Blikstein, P.; 2012; Modeling how students learn to program; SIGCSE'12 - Proceedings of the 43rd ACM Technical Symposium on Computer Science Education, pp. 153-158 was cited 96 times. Costa, E.B., Fonseca, B., Santana, M.A., de Araújo, F.F., Rego, J.; 2017; Evaluating the effectiveness of educational data mining techniques for early prediction of students' academic failure in introductory programming courses; Computers in Human Behavior, (73), pp. 247-256. was cited 71 times. Watson, C., Li, F.W.B., Godwin, J.L.; 2013; Predicting performance in an introductory programming course by logging and analyzing student programming behaviour; Proceedings - 2013 IEEE 13th International Conference on Advanced Learning Technologies, ICAIT 2013, pp. 319-323 was cited 65 times. Next we present the clusters of the most cited authors' networks (Fig. 9. Most cited author's network visualization..)

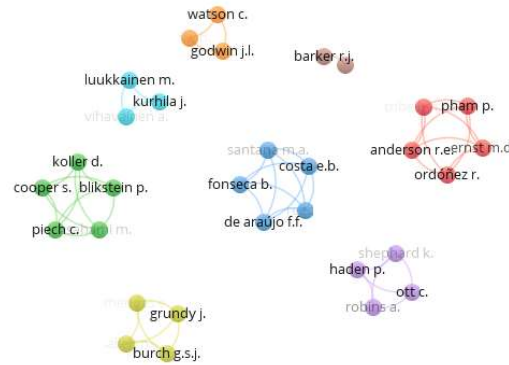


Fig. 9. Most cited author's network visualization.

We found one cluster of the countries of origin of the most cited authors (Australia and New Zealand).

V. CONTENT RESULTS

From the full set of 30 analysed papers, to answer the content related research question (CQ1: *Which papers use machine learning as a technique?*), we noticed that 11 use machine learning related techniques [30] [31] [32] [38] [39] [40] [41] [42] [43] [44] [45] published in 2006 [45], 2012 [30], 2013 [32], 2017 [31] [39] [41] [42], 2018 [40] [43] and 2019 [38] [44], and regard studies performed in different Universities from different countries. The second content related research question (CQ2: *What kind of data was used?*) aims at knowing the data used for the study. For this, we need to analyse the sample

size, but also the type of information present on the data. As for the sample size, the studies analysed were performed considering samples that go from 41 students in [39] to 505 in [40]. One of these studies [31] even considers two different samples of students: a sample of [41] 1 students enrolled in regular classes and another one of 262 students enrolled in online classes. As for the information present on the data, it included sociodemographic data [39], [42] [45], psychometric data [38] [41] [44], statistical data [41] [44], data related to course activity and course statistics [31] [39] [43] [45], previous grades [42], and data concerning automatically evaluated programming exercises [30] [32] [40]. For the third content related research question (CQ3: *What is the aim of the publication?*), we could see that the aims stated for each study include improving the skills of each student in programming [44], predicting students' performance [42] [38] [32] [39] [41] or program correctness [40], identify at-risk students [43], explore the effects of an instructional intervention for increasing student motivation [45], graphically model students' progress [30], and also compare the effectiveness of different educational data mining techniques [31]. All these 11 studies tried to use machine learning to try to predict students' grades, and to try to answer the fourth content related research question (CQ3: *What is the aim of the publication?*) we analysed the publications' content and were able to realise that the machine learning tasks considered were unsupervised machine learning techniques [30], but also supervised ones as regression [32] [38] [43] [45] and classification [31] [39] [40] [41] [42] [44].

The algorithms considered for the tasks, as to answer the fifth content related research question (CQ5: *Which algorithms were considered?*) were artificial neural networks / multilayer perceptron [31] [40] [42] [44], decision trees [31] [40] [42], support vector machines [31] [40], naive bayes [31] [41] [42], logistic [40] and linear [32] [38] [45] regression, J48 [39] [42], Sequential minimal optimization [42], markov models [30], decision rules, standard Voting, and object tracking procedures [41] and, finally, best-subset-regression and LASSO regression [43]. Finally, to answer the last content related research question (CQ6: *What were their findings?*), the available information led us to realise that the studies' findings included cases when the techniques used were suited for the task at hand [31] [40], where the approach was considered to be a good early predictor of performance [32], some even stating that the most predictive variables turned out to be students' attitude towards programming [38] or the national test score for mathematics [39], and reaching the ultimate goal to help institutions identify students in need for extra help [30] [42] [43].

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